

Based on the provisions of Royal Decree 2129/2008, dated December 26, which established the National Program for the Preservation, Improvement and Promotion of farm breeds, a stud book is considered any book, file, register or IT system that is handled by any officially recognized breeder association, where the animals of a given breed are registered, with specific mention of the that animal's ancestors. In addition to handling the stud book of the horses registered, breeder associations are the body that is responsible for developing breeding programs for that breed. Public Administration is responsible for the verification and supervising stud book operations.

The Purebred Spanish Horse Stud Book (known in Spanish as LG PRE) was created in January of 1912. Since that date and until January 1 of 2007, the PRE Stud Book was handled by Cría Caballar.¹

Since then and until today, ANCCE (National Purebred Spanish Horse Breeders' Association of Spain) has been handling the PRE Stud Book as a result of the recognition by Spain's Ministry of Agriculture, Food and the Environment, through a resolution from the Director-General for Livestock Farming on the 11th of December in 2007. This mandate involves the issuing of Equine IDs (EID) and the processing of registration services for Purebred Spanish Horses the world over. To comply with this mandate, the PRE Stud Book office has an advanced IT system. Thanks to Internet, the office is in contact with all of the authorized and collaborating staff whether in Spain or abroad.

The main PRE Stud Book office is located in the city of Sevilla (Spain). Likewise, it has a network of collaborating veterinarians, authorized in Spain and collaborating international associations.

These current Rules & Regulations establish the PRE Stud Book structure, in addition to the essentials for its management, the controls applied to PRE horses, and the actual day-to-day handling of the PRE Stud Book. Also, these Rules include both the rights and obligations affecting the collaborating associations and breeders, in addition to how the General LG PRE Stud Book Guidance Council operates.

¹ Translator's Note: Cría Caballar was and continues to be a division of the Armed Forces, under the responsibility of the Ministry of Defense, dedicated to breeding horses.



CHAPTER I

CHARACTERISTICS OF THE PRE STUD BOOK AND ITS ADMINISTRATION

Article 1. PRE Stud Book Sections

The PRE Stud Book is made up of a Main Section that includes the following registers:

Birth Register

The Birth Register is for those horses, born to/of breeding stock that is registered in the Permanent Register, in those cases in which the covering (breeding), artificial insemination or embryo implantation has been duly declared by the breeder or veterinarian responsible for said task, and the birth of which has been declared following the procedure established by the breeder association that has been officially recognized to handle the PRE Stud Book and undertake the Breeding Program (hereinafter Breeder Association). Moreover, said horse/s must be identified as established by the law in force regarding the identification of equines; said horse/s must be compatible with the parents proposed by means of DNA testing, its characteristics must comply with the established demands regarding breed quality, as described in Section 5 of these Rules & Regulations, and meet all the requirements established by the Breeder Association in keeping with the legislation in force.

Permanent Register

This register is for those horses included in the Birth Register and that are three (3) years of age, that meet the PRE breed standard as specified in Section 5 of these Rules & Regulations, and that prove they have surpassed the specific assessment test for the breed, in keeping with the specifics in point 3 of these Rules & Regulations, to earn the category of "Approved Breeding Stock." Any horse that fails to pass said assessment shall remain registered in the Birth Register.

Within this Permanent Register, the following Registers are found for Breeding Stock with outstanding qualities, from the standpoint of genetics:

- a) **Register of Young Recommended Breeding Stock:** All horses appearing in the Permanent Registered may be registered in the Young Recommended Breeding Stock Register if and when they have surpassed the conformation and/or functional or sports requirements from the phenotypic stand point, established



to this end by the Breeder Association. Likewise, all horses must undergo an X-ray study to rule out diseases such as Osteochondrosis and a study of their reproductive system to rule out any possible reproductive abnormality.

- b) Register of Qualified Breeding Stock:** Those horses appearing in the Permanent Register and that are between four (4) and six (6) years of age, that have been genetically assessed based on the data generated from their participation in the Breeding Program Performance Tests, that obtain a genetic index greater than what has been established for the character or aptitude under consideration and that surpass all conformation, functional, reproductive and health care requirements as established in the PRE Breeding Program may be included in this Register. All horses must undergo an X-ray study to rule out diseases such as Osteochondrosis and a study of their reproductive system to rule out any possible reproductive abnormality.
- c) Register of Improver Breeding Stock:** Horses appearing in the Permanent Register and that have been genetically assessed, may be incorporated in the Register of Improver Breeding Stock. These horses must also obtain a genetic index that is greater than what has been established for the character or aptitude under consideration with a minimal reliability as established in the PRE Breeding Program, and surpass the requirements of said Breeding Program. Likewise, these horses must undergo an X-ray study to rule out diseases such as Osteochondrosis and a study of their reproductive system to rule out any possible reproductive abnormality.
- d) Register of Elite Breeding Stock:** Horses may be included in this register if and when they have outstanding genetic qualities that are above and beyond those of the breed, and that have also obtained the category as Improver Breeding Stock for Dressage and Conformation Aptitude for Dressage. Likewise, these horses must all undergo an X-ray study to rule out diseases such as Osteochondrosis and a study of their reproductive system to rule out any possible reproductive abnormality.

Moreover, there is a **Register of Merits** for those horses included in the Permanent Register that have proven to be most outstanding due to their own merits or that of their descendants at competitions and have demonstrated their exceptional qualities.



The genetic information from horses that have been assessed shall be included in the PRE Stud Book and the corresponding category obtained shall be indicated on said horse's Equine Identification Document (EID) with a distinctive stamp.

Article 2. Governance

The Breed Inspector is a person from Spain's General Administration who is responsible for the breed's technical governance.

In any case, the technical monitoring shall indicate at least the following functions, as described in Article 14 of Royal Decree 2129/2008:

- a) Perform the technical application of the rules established for the breed, verifying their correct application and verifying compliance with Stud Book criteria, performance controls and the Breeding Program.
- b) Supervise that all animal husbandry and genealogical requirements are complied with when presenting animals (horses) at select animal events.
- c) Report about the situation of the breed and the Association, and propose action regarding the management of the Stud Book and Breeding Program that need to be re-examined.
- d) Cooperate in the verification of the correct application of public subsidies as well as the justification of any project financed.
- e) As would be the case, be a member of the Breeding Program Management Commission.

Article 3. PRE Stud Book Quality Control Certification

PRE Stud Book management shall be subject to quality audits, as determined by the General LG PRE Stud Book Guidance Council at any given time, which would demand that the Technical Manager of said Stud Book must make the corresponding corrections in the light of any possible deficiencies that may have been detected.

CHAPTER II
**OBLIGATIONS OF ANCCE AS THE ASSOCIATION RECOGNIZED TO HANDLE
THE PRE STUD BOOK**

Article 4. Obligations of ANCCE

In keeping with the mandates established in Article 11 of Royal Decree 2129/2008, ANCCE, as the association handling the PRE Stud Book, is obliged to:

- a) Establish the objectives to preserve, improve and promote the PRE as a breed.
- b) Maintain and manage the PRE Stud Book, issue all documents relative to normal stud book operations, especially special certificates and pedigrees (family trees).
- c) Develop the officially approved PRE Breeding Program.
- d) Present for approval by the corresponding authority, as would be the case, any and all proposals to change the specific Stud Book rules or the Breeding Program. This latter must be backed by a center that is qualified in genetics.
- e) Report, as required by the corresponding authority, and at least once a year, regarding Stud Book operations and the Breeding Program, as well as any incidence of interest that may have arisen with regards to the breed, especially in terms of the herd census, national and international expansion, assessment and selection tests, events and as would be the case, the applicable rules.
- f) Guarantee access to the database, as well as being committed to establishing the mechanism for electronic communication, as determined and indicated by the corresponding authority to facilitate Stud Book data and Breeding Program data to constitute a single database for the breed.
- g) Create and carry out a Breeding Program dissemination program.
- h) Provide Stud Book services to all breeders requesting said services, without discrimination of any kind, whether or not said breeder is a member (of ANCCE), independently of where said breeder/stud farm is located, in keeping with the conditions established in the specific PRE Stud Book Rules.
- i) Ensure coherence in the actions undertaken to preserve, improve and promote the breed when handling the PRE Stud Book for which it has official recognition, and establish the necessary mechanism to attain breed sustainability.



In addition to the obligations established by Royal Decree 2129/2008, ANCCE must:

- j) Train, appoint and dismiss the staff that collaborates with ANCCE to perform registration activities, as well as govern their actions.
- k) Announce Stud Book procedures and guarantee the correct application of all rules by authorized staff.
- l) Safeguard Stud Book data, while respecting the confidentiality demanded by the applicable legislation at all times.
- m) Facilitate the processing of breeder documents at all times, in keeping with the latest applicable technology and diligently receive and process documents to be returned to each breeder.
- n) Edit and send breeders all documents that affect the ownership, registration and identification of their horses.
- o) Provide breeders with all of the necessary tools to correctly comply with their legal obligations.
- p) Accept, process and return any and all claims presented by breeders or those affected by these Rules & Regulations.

<p>CHAPTER III</p> <p>BREEDER RIGHTS AND OBLIGATIONS</p>

Article 5. Regarding the Rights of Breeders

PRE breeders and owners, whether located in Spain or in another country, shall have the right to:

- a) Register their stud farm with the Stud Book. The name of the stud farm, its branding iron and code is indivisible.
- b) Register their horses in the various Registers included in the PRE Stud Book, if and when said horse meets the requirements in these Rules & Regulations, in the PRE Stud Book Technical Procedures Manual and the specific Rules for the Stud Book and the Breeding Program and other applicable rules.
- c) Name their horses. Any name may be accepted but always avoiding rude expressions and respecting the indications found in the PRE Stud Book Technical Procedures Manual. The name of a horse may be changed by the



breeder or the new owner, but retaining reference to the original name of that horse.

- d) Appeal registrations before the Stud Book's Technical Director, and also request that the decision reached be presented as a claim before the General LG PRE Stud Book Guidance Council.
- e) Request that any registration document be corrected when it contains a mistake.
- f) Present written complaints before the Stud Book's Technical Director, regarding the actions of corresponding authorized staff or international association, when there are founded reasons regarding irregularities that may have arisen.

Article 6 Regarding the Obligations of Breeders

Breeder obligations include:

- a) Having an active Breeder Code in the PRE Stud Book, for horses owned by said breeder and request registration services.
- b) Having a farm code (REGA)² for the site where the horses are located, in keeping with the corresponding legislation.
- c) Facilitating the PRE Stud Book with all necessary information for the correct location and locality when it comes to providing registration services, and updating this information whenever necessary.
- d) Authorizing the Stud Book to use the breeder's personal information with regards to activities as a Purebred Spanish Horse breeder, but always considering the mandates of Spain's law 15/1999 regarding the protection of personal data.
- e) Requesting and paying for the services for those horses owned by that breeder, in keeping with the mandates of the PRE Stud Book Technical Procedures Manual and the published fees.
- f) Request the registration of horses, taking into consideration the necessary time needed to identify foals, as well as the deadline for the identification of horses, as stipulated in the current legislation.

² Translator's note: REGA is the acronym for Registro General de Explotaciones Ganaderas or General Register of Breeding Farms. All farms dedicated to animal husbandry must have such an identification number.



- g) Authorizing the Stud Book to access the horses on the breeder's farm to identify said horses, but having informed the breeder in advance. Should the breeder refuse this access, the General LG PRE Stud Book Guidance Council may proceed to apply the measures considered appropriate until said horses are identified.
- h) Presenting horses with an adequate degree of training and in good physical shape for any of the Stud Book services provided.
- i) Informing the PRE Stud Book of the gelding of any horse so that this fact may be indicated.
- j) Informing the Stud Book of horses that have been sold or have died for their removal and provide the documentation requested to remove said horse.
- k) Requesting an export certificate from the PRE Stud Book office when horses are sold abroad.
- l) Returning the Ownership Card and/or EID to the PRE Stud Book of any horse that has died or been sacrificed, so that said Ownership Card is cancelled.
- m) Making sure that all identification information and data contained in a Horse's passport is updated and correct at all times.
- n) Making sure that all ownership information contained on the horse's Ownership Card or in the Passport is updated and correct at all times.

<p style="text-align: center;">CHAPTER IV STUD BOOK TECHNICAL STAFF</p>

Article 7. Technical Director

The General LG PRE Stud Book Guidance Council is responsible for appointing and removing the PRE Stud Book Technical Director, with this being subject to ratification by the General Assembly. Based on the mandates of Article 8 of Royal Decree 2129/2008, the Technical Director shall coordinate and supervise the Breeding Program. Said person shall have a university degree with knowledge and training in animal husbandry.

The Stud Book Director shall have total independence regarding registration matters and shall respond before the General LG PRE Stud Book Guidance Council.

Article 8. Assistant Technical Director

The General LG PRE Stud Book Guidance Council, at the proposal of the Technical Director, shall appoint an Assistant Technical Director for the PRE Stud Book. Said person shall undertake the tasks and responsibilities of the Director in his/her absence or due to dismissal.

When the Assistant Technical Director is dismissed, the Director may propose a substitute before the General LG PRE Stud Book Guidance Council.

Article 9. Responsibilities of the Stud Technical Director

- a) Implement the decisions made within the scope of the General LG PRE Stud Book Guidance Council.
- b) Propose changes in the PRE Stud Book Technical Procedures Manual and these Rules & Regulations.
- c) Propose a Molecular Genetics Lab before the General LG PRE Stud Book Guidance Council to perform the genotypes, the parentage verifications and other possible services, such as the diagnosis and assisted improvement of coat colors.
- d) Coordinate the tasks of the staff working at the Stud Book, both at the national and international level.
- e) Handle the Register of Breeders, proceeding to register all new breeders requesting inclusion, if and when these meet the requirements foreseen in the PRE Stud Book Technical Procedures Manual.
- f) Handle the Incoming and Outgoing Documentation Register, noting the date that documentation was received or sent, whoever the sender or receiver may be.
- g) Enter all new registrations and removals that may arise in the Stud Book, when these are reported by breeders and once all requirements have been met and verified.
- h) Report to the General LG PRE Stud Book Guidance Council regarding any incidence observed, and propose the solution considered appropriate. Once an agreement has been adopted, this shall be applied.
- i) Inform the General LG PRE Stud Book Guidance Council of any irregularities committed by breeders, authorized veterinarians, international associations or the lab so that the necessary measures may be adopted.



- j) Report about the authorized veterinarians who provide registration services, as well as the geographic distribution within Spain.
- k) Report regarding operations by international associations.
- l) Present reports regarding the activity of the molecular genetics lab/s to determine genotypes, parentage controls and other services. Propose the disqualification of the lab/s, as would be the case, before the Stud Book management and verification bodies.
- m) Coordinate the administrative team that is necessary for the correct handling of the Stud Book by proposing the contracting or dismissal of staff, based on their tasks, before the General LG PRE Stud Book Guidance Council.
- n) Coordinate and verify staff turnover times when providing registration services and that of the molecular genetics lab/s, which is standardized to verify the parentage of the horses registered or to be registered.
- o) Diligently maintain the Register of horses and breeders, which, together with all of the corresponding documentation, must be filed, whether on paper and/or in digital format, to always undertake all efforts to remedy any deficiency observed in the registration systems or procedures and report any structural or staffing abnormality to the General LG PRE Stud Book Guidance Council.
- p) Summons the national and international collaborating staff, either based on his/her own initiative or at the request of the General LG PRE Stud Book Guidance Council, to attend any and all meetings deemed necessary for the correct functioning of the operations, but always with the approval of the General LG PRE Stud Book Guidance Council.
- q) Establish all of the materials that the authorized PRE Stud Book veterinarians may need to perform their tasks.
- r) Propose the creation of new services, unforeseen in the Stud Book Technical Procedure, when the correct and diligent governance so demands.
- s) Be responsible for the coordination of matters regarding the management of the Stud Book and its operations, following the mandates established by the General LG PRE Stud Book Guidance Council, being subject to these once approved and verified.



CHAPTER V
REGARDING VETERINARIANS AUTHORIZED BY THE PRE STUD BOOK
WITHIN SPAIN

Article 10. Definition

The veterinarians who are appointed annually by ANCCE shall provide breeders with professional and support services that are essential for the tasks presented before the PRE Stud Book. Each authorized veterinarian is granted a specific geographic area, and is committed to not interfering with other veterinarians. The geographic distribution within Spain shall be reviewed from time to time by the General LG PRE Stud Book Guidance Council and said Commission shall request exact data/information from the Stud Book Technical Director to reach a decision.

Article 11. Obligations of veterinarians authorized by the PRE Stud Book

Each veterinarian authorized by the PRE Stud Book shall perform his/her task with professional diligence and at all time comply with the following obligations:

- a) Fulfill the procedures as required by breeders for the Stud Book, in keeping with the various protocols, procedures and deadlines as established by the Stud Book.
- b) Be responsible before the Stud Book for the mechanization, computer treatment and filing/safeguarding of data that corresponds to his/her geographic location and the services provided.
- c) Attend breeders, either by phone or in person, for the various formalities to be carried out for the PRE Stud Book.
- d) Attend the various PRE Stud Book activities, as summoned by the Stud Book Technical Director.
- e) Perform the various tests, extraction of blood samples and send these, in keeping with the procedures established by the PRE Stud Book office, to the lab.
- f) Use the necessary work material, authorized by the PRE Stud Book when providing services.



- g) Maintain professional secrecy and absolute care regarding information that may be known, whether directly or indirectly, while performing his/her professional activity.
- h) Send to the main PRE Stud Book office, when said office so requires, all original documents and information obtained during the time in which he/she has or is providing professional services to Purebred Spanish Horse breeders.
- i) Inform the PRE Stud Book Technical Director, in writing, of any deficiency or irregularity observed while undertaking professional tasks or when specifically requested by the PRE Stud Book.
- j) Take measurements and other relevant data regarding horses being assessed as breeding stock, indicating said information on the document established for the procedure, introducing it into and updating the database.
- k) Update the graphic description of horses for which they have been summonsed, whatever the reason, proceeding to amend the accompanying document and the database, should a mistake be verified, but always following the procedures established by the main Stud Book office.
- l) Attend the TQBs³ or other tests to identify and note as much data as possible regarding the horses assigned, as well as updating the data in the IT application generated at the tests.

The veterinarian shall invoice those breeders for his/her professional services provided for the registration of horses.

Article 12. Suspension of veterinarians authorized by the PRE Stud Book and other reasons for dismissal

The General LG PRE Stud Book Guidance Council, based on a report from the PRE Stud Book Technical Director, may dismiss an authorized veterinarian when he/she fails to meet all of the obligations established in these Rules and Regulations and the signed collaboration agreement.

Article 13. Temporary Collaborating Veterinarians: Functions

These are veterinarians who may temporarily render their services to the PRE Stud Book offices, when necessary. To be a temporary Stud Book collaborator, this person

³ TQB =Tribunal for Qualified Breeding Stock



must be proposed by the PRE Stud Book Technical Director and ratified by the General LG PRE Stud Book Guidance Council.

<p style="text-align: center;">CHAPTER VI HANDLING THE STUD BOOK ABROAD</p>

Article 14. Stud Book Identity

There is a single and universal Purebred Spanish Horse Stud Book. All horses that comply with the mandates established in the specific PRE rules as published in the Official State Gazette, independently of the country where they are born or have been assessed, shall be registered in the various registers.

The technical procedures for the various services, conditions and timelines shall be established by the PRE Stud Book.

Article 15. PRE Stud Book Management Abroad

Attending the unique situation of each country at all times, the structure and situation of its associations, its historic background, herd and number of breeders, the General LG PRE Stud Book Guidance Council shall have the authority to decide the best registration system to be developed in each country. The various management models may include:

- a) Recognizing international associations that collaborate with the PRE Stud book, once these have proven to have the infrastructure and meet the requirements demanded by the General LG PRE Stud Book Guidance Council and that surpass the established training period. One shall be recognized per country.
- b) Direct management from the main PRE Stud Book office.
- c) Other management models to be determined by the General LG PRE Stud Book Guidance Council.

**CHAPTER VII
MOLECULAR GENETICS LAB/S**

Article 16. Molecular Genetics Lab/s

All samples from horses requesting some type of registration service are required to have a genetic analysis. Said sample must be sent to the lab/s for genotyping, parentage verification and other services determined by the PRE Stud Book, in keeping with the mandates established herein. All results and genetic material are owned by ANCCE.

The lab/s is/are committed to maintaining the quality control systems that the General LG PRE Stud Book Guidance Council considers necessary while providing services.